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				Application Number	08/28	31,790	(USF	PN 5,514,154)	
TRANSMITTAL			Filing Date	07/28	7/28/1994				
FORM			First Named Inventor	Lilip	ilip Lau et al.				
				Art Unit					
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		oondence after initial	mingj	Attorney Docket Numbe	r 0031	68.13	50		
Total Number of	of Pages in	This Submission		l	10001	00.10			/
	•		ENC	LOSURES (Check	all that app	ly)			
	Fee Transmittal Form Fee Attached			Drawing(s) Licensing-related Papers		After Allowance Communication to  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC			
Extensio  Express  Informati	After Final Affidavits/declaration(s)  Extension of Time Request Express Abandonment Request		Petition Petition to Convert to a Provisional Application Power of Attorney, Revoca Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on	evocation dence Address  Support File Pure Re		Appeal Notice, Brief, Reply Brief) Proprietary Information  Status Letter Other Enclosure(s) (please Identify below): upplemental Submission For A Previously led Application For Patent Term Extension ursuant To 35 U.S.C. Section 156; eplacement Exhibit F.			
	• •	Parts/					1		
Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53		:	-		ļ		NT EXTENSION OPLA		
		SIGNA	TURE C	F APPLICANT, ATT	ORNEY,	OR AG	ENT		
Firm Name	Bake	r Botts L.L.F	P.						
Signature						,			
Printed name Steven P. Lendaris									
Date 12/29/2011		Reg. No. 53,20		202					
		С	ERTIFIC	CATE OF TRANSMIS	SION/M	AILING			
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Signature				_					
Typed or printed name Steven P. Lendari			ris Date 12/29/2011			12/29/2011			
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#### Attorney Docket No.: 003168.1350

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December 29, 2011 .....

Date

Signat	ture
Steven P. I	Lendaris
Typed or printed name of p	erson signing Certificate
53,202	212-408-2500
Registration Number, if applicable	Telephone Number

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- 1. Transmittal Form (1 page)
- 2. 5 copies of Replacement Exhibit F (3 pages)
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

U.S. Patent No. 5,514,154 (U.S.S.N. 08/281,790)

Issued:

May 7, 1996

Regulatory

XIENCE PRIME™ and XIENCE

**Approval Product:** 

PRIME™ LL Everolimus Eluting Coronary Stent System (EECSS)

Inventors

:

Lilip Lau et al.

For

**Expandable Stents** 

# SUPPLEMENTAL SUBMISSION FOR A PREVIOUSLY FILED APPLICATION FOR PATENT TERM EXTENSION PURSUANT TO 35 U.S.C. § 156

Mail Stop Hatch-Waxman PTE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Abbott Cardiovascular Systems Inc. ("Applicant"), acting through its duly authorized attorney, filed an application for extension of the term of U.S. Patent No. 5,514,154 on December 28, 2011 ("the PTE application") via Express Mail (Express Mail No. El 124730639 US). Five copies of the PTE application, including Exhibits A-I, were included in that Express Mail filing. Due to a clerical error with the previously-filed Exhibit F, Applicant hereby submits five copies of replacement Exhibit F, and respectfully requests that the Exhibit F in each of the five copies of the PTE application as originally filed be replaced with the replacement Exhibit F enclosed herewith. Applicant also notes that the first page of the PTE application includes a typographical error in its recitation of the recordation date of the assignment recorded at Reel 007186, Frame 0565, which was attached to the PTE application as Exhibit A. As noted in the originally filed Exhibit A, the assignment was recorded on October 28, 1994. The PTE application as originally filed is incorporated by reference herein in its entirety.

No fee is believed due in connection with this submission. If, however, any fee is required, please charge the fee to the Deposit Account 02-4377.

Date: December 29, 2011

Respectfully submitted,

Daniel J. Hulseberg Patent Office Reg. No. 36,554

Steven P. Lendaris Patent Office Reg. No. 53,202

Attorneys for Applicant Customer No. 62614 BAKER BOTTS L.L.P. 30 Rockefeller Plaza New York, NY 10112-4498 (212) 408-2500

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DEC 2.9 2011

PATENT EXTENS

OPLA

Exhibit F









Patent Maintenance Fees		12/19/2011 03:44 AM EST				
Patent Number:	5514154	Application Number: 08281790				
Issue Date:	05/07/1996	Filing Date:	07/28/1994			
Window Opens:		Surcharge Date:				
Window Closes:		Payment Year:				
Entity Status: LARGE						
Customer Number: 204						
Address:	COMPUTER PACKAGES, 414 HUNGERFORD DRIVE ROCKVILLE MD 20850					
Phone Number:	(301) 424-8890					
	Currently there are no fees due.					

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Patent Bibliographi	12/19/2011 03:45 AM						
Patent Number:	: 5514154 A			Application Number: 08281790			
Issue Date:	05/07/1996		Filing Date:	07/28/1994			
Title:	EXPANDAE	BLE STENTS					
Status:	4th, 8th and	1 12th year fees paid	j	Entity:	Large		
Window Opens:	N/A	Surcharge Date:	N/A	Expiration:	N/A		
Fee Amt Due:	Window not open	Surchg Amt Due:	Window not open	Total Amt Due:	Window not open		
Fee Code:				•			
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Most recent events (up to 7):	12/11/2003 12/11/2003 11/07/2003	Payor Number Ass Payer Number De-a Payment of Mainte	assigned. nance Fee, 8th Year, La nance Fee, 4th Year, La	arge Entity.			
Address for fee purposes:	414 HUNGE	COMPUTER PACKAGES, INC. 414 HUNGERFORD DRIVE ROCKVILLE MD 20850					
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 08/281,790 (USPN 5,514,154) Filing Date TRANSMITTAL 07/28/1994 First Named Inventor Lilip Lau et al. **FORM** Art Unit **Examiner Name** N/A (to be used for all correspondence after initial filing) Attorney Docket Number 003168.1350 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC **√** Drawing(s) Fee Transmittal Form Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): 5 executed copies of Application For Patent Request for Refund **Express Abandonment Request** Term Extension Pursuant To 35 U.S.C. Section 156 and Exhibits A-I; Statement CD, Number of CD(s) Information Disclosure Statement Under 37 CFR 3.73(b). Landscape Table on CD Remarks Certified Copy of Priority Document(s) RECEIVED Reply to Missing Parts/ DEC 282011 Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 PATENT EXTENSION OPLA SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Baker Botts L.L.P. Signature Printed name Steven P. Lendaris Date Reg. No. 53,202 12/28/2011 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date 12/28/2011 Steven P. Lendaris Typed or printed name

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		Co	mplete if Known				
FEE TRANS	<b>MITTAL</b>	Application Number	00/004 700 (LICENIE E				
2-10		Filing Date	07/28/1994				
		First Named Inventor	Lilip Lau et al.				
		Examiner Name	N/A				
Applicant claims small entity status.	See 37 CFR 1.27	Art Unit					
TOTAL AMOUNT OF PAYMENT	(\$) 1,120	Attorney Docket No.	003168.1350				
METHOD OF PAYMENT (check	all that apply)	FEE CA	LCULATION (continued)				
Check Credit card Money	Other None	ADDITIONAL FEES					
Deposit Account:							
Deposit Account 02-4377	] [	<b>–</b>					
Number	<del></del> i [	Surcharge - late oa	ith or filing fee				
Account Name Baker Botts L.L.P.		Non-English Specit	fication				
The Director is authorized to: (check all that a ✓ Charge fee(s) indicated below ✓ Cre	apply) dit any overpayments	Extension for reply	within first month				
Charge any additional fee(s) or any underpath	· II	Extension for reply	within second month				
to the above-identified deposit account.		Extension for reply	within third month				
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Independent x 250	]= [ \$0 ]	Petition to revive -	unavoidable				
Multiple Dependent	= \$0	Petition to revive -	unintentional				
		Utility Issue Fee					
SUBTOTA	L \$0	Design Issue Fee					
		Publication Fee	Publication Fee				
Fee Description Large Enti	ty Small Entity	Petitions to the Co	mmissioner				
Claims in excess of 20 60	30	Request for Contin	ued Examination (RCE)				
	 	<b>=</b>	Information Disclosure Statement (IDS)				
Independent claims in excess of 3	125	L I IIIO/Mation Disclos	sale Statement (IDS)	<u> </u>			
Multiple dependent claim, 450	225	Other fee - Extension	of Term of Patent	\$1,120			
if not paid			-				
			SUBTOTAL (\$)	1,120			
SUBMITTED BY			(Complete (if applicable				
Name (Print/Type) Steven P. Le	endaris	Registration No. (Attorney/Agent) 53,20		2-408-2500			
Signature &			Date 12/28/2	2011			

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Attorney Docket No.: 003168.1350

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on	December 28, 2011
	Date

38			
Signatu	re		
Steven P. Le			
Typed or printed name of per	rson signing Certificate		
53,202	212-408-2500		
Registration Number, if applicable	Telephone Number		

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- 1. Transmittal Form (1 page)
- 2. Fee Transmittal (1 page)
- 3. 5 executed copies of Application For Patent Term Extension Pursuant To 35 U.S.C § 156 including Exhibits A-I (138 pages)
- 4. Statement Under 37 CFR 3.73(b) (3 pages)
- 5. Revocation of Power of Attorney With New Power Of Attorney And Change of Correspondence Address (1 page)
- 6. Return Postcard

PTO/SB/82 (01-06)

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Application Number	USPN 5,514,154 Issued May 7, 1996 Abbott Cardiovascular Systems Inc.				
Filing Date					
First Named Inventor					
Art Unit	N/A				
Examiner Name	N/A				
Attorney Docket Number	003168.1350				

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A Power of Attorn	ney is submitted her	rewith.					
OR							
✓ I hereby appoint	the practitioners as	sociated with th	e Custome	er Numb	er:	626	314
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The address Customer Nu	associated with umber:		62614				
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	itor. ord of the entire inte ir 37 CFR 3.73(b) is			(96)			
SIGNATURE of Applicant or Assignee of Record							
Signature Quist	ma-U	LUKE	<u></u>				
Name Suso	in L. Wa	lker				····	
	22-11		Telepi	(	(408)	345.	-3000
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.							
*Total off	"Total offorms are submitted.						

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STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: Abbott Cardiovascular Systems Inc.
Application No./Patent No.: USPN 5,514,154 Filed/Issue Date: Issued May 7,1996
Entitled: Expandable Stents
Abbott Cardiovascular Systems Inc. , a Corporation (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is:  1.  the assignee of the entire right, title, and interest; or
2. an assignee of less than the entire right, title and interest (The extent (by percentage) of its ownership interest is%)
in the patent application/patent identified above by virtue of either:
A An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
OR  B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:
1. From: Lilip Lau, William Hartigan and John Frantzen To: Advanced Cardiovascular Systems, Inc.  The document was recorded in the United States Patent and Trademark Office at Reel 007186 , Frame 0565 , or for which a copy thereof is attached.  2. From: Advanced Cardiovascular Systems, Inc.  The document was recorded in the United States Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.
3. From:
Additional documents in the chain of title are listed on a supplemental sheet.
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.
SUSAN L. WALKER 408-845-3000
Printed or Typed Name Telephone Number
ASST. Socretary

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## State of California Secretary of State

I, DEBRA BOWEN, Secretary of State of the State of California, hereby certify:

That the attached transcript of \_\_\_\_ page(s) has been compared with the record on file in this office, of which it purports to be a copy, and that it is full, true and correct.



IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this day of

FEB 1 4 2007

DEBRA BOWEN Secretary of State

#### CERTIFICATE OF AMENDMENT OF ARTICLES OF INCORPORATION

ENDORSED - FILED in the office of the Secretary of State of the State of California

FEB 1 3 2007

The undersigned certify that:

- They are the President and an Assistant Secretary, respectively, of Advanced 1. Cardiovascular Systems, Inc., a California corporation.
- Article I of the ArtIcles of Incorporation of this corporation is amended to read as 2. follows:

The name of the corporation is Abbott Cardiovascular Systems Inc.

- The foregoing amendment of Articles of Incorporation has been duly approved 3. by the Board of Directors on January 18, 2007.
- The foregoing amendment of Articles of Incorporation has been duly approved 4. by the required vote of shareholders in accordance with Section 902, California Corporations Code. The total number of outstanding shares of the corporation is 100. The number of shares voting in favor of the amendment equaled or exceeded the vote required. The percentage vote required was more than 50%.

We further declare under penalty of perjury under the laws of the State of California that the matters set forth in this certificate are true and correct of our own knowledge.

DATE: February \_9\_, 2007

John M. Capek President

Assistant Secretary



ornus Certificate of Amend